

# ALGEBRAIC Approach to SHO.

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} m\omega^2 \hat{x}^2 = \frac{1}{2} m\omega^2 \left( \hat{x}^2 + \frac{\hat{p}^2}{m^2\omega^2} \right)$$

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search for  $\hat{H} = \underbrace{V^\dagger V}_{\text{Hermitian}} + \text{constant}$

because  $\hat{H} \in \text{Hermitian}$   
such construction makes sense

regardless of  $V$  is Hermitian or Not.

$$(V^\dagger V + \text{constant})^\dagger = V^\dagger V + \text{constant}^*$$

so if constant  $\in \mathbb{R}$ , this is an Hermitian.

$$\left( \hat{x}^2 + \frac{\hat{p}^2}{m^2\omega^2} \right) \stackrel{?}{=} \left( \hat{x} + \frac{i\hat{p}}{m\omega} \right) \left( \hat{x} - \frac{i\hat{p}}{m\omega} \right)$$

NO

$$\parallel \hat{x}^2 + \frac{\hat{p}^2}{m^2\omega^2} + \frac{i}{m\omega} [\hat{x}, \hat{p}]$$

$$\parallel [\hat{x}, \hat{p}] = i\hbar$$

$$\hat{x}^2 + \frac{\hat{p}^2}{m^2\omega^2} - \frac{i\hbar}{m\omega}$$

if  $\omega \in \mathbb{R}$ .  
this is a real constant

NOTICE:  
operators don't  
necessarily commute

$$\Rightarrow \left( \hat{x}^2 + \frac{\hat{p}^2}{m^2\omega^2} \right) = \underbrace{\left( \hat{x} + \frac{i\hat{p}}{m\omega} \right)}_{\hat{V}} \underbrace{\left( \hat{x} - \frac{i\hat{p}}{m\omega} \right)}_{\hat{V}^\dagger} + \frac{\hbar}{m\omega}$$

$$\text{so } \hat{H} = \frac{1}{2} m\omega^2 V^\dagger V + \frac{1}{2} \hbar \omega$$

define  $\hat{a} := \sqrt{\frac{m\omega}{2\hbar}} V$  (dimensionless)

$$\hat{a}^\dagger \equiv \sqrt{\frac{m\omega}{2\hbar}} V^\dagger$$

$$[V^\dagger, V] = \left[ \hat{x} + \frac{i\hat{p}}{m\omega}, \hat{x} - \frac{i\hat{p}}{m\omega} \right] = -\frac{2\hbar}{m\omega}$$

Now we have

$$\left[ \begin{aligned} \hat{a} &= \sqrt{\frac{m\omega}{2\hbar}} \left( \hat{x} + \frac{i\hat{p}}{m\omega} \right) \\ \hat{a}^\dagger &= \sqrt{\frac{m\omega}{2\hbar}} \left( \hat{x} - \frac{i\hat{p}}{m\omega} \right) \end{aligned} \right]$$

$$\left[ \begin{aligned} \hat{x} &= \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^\dagger) \\ \hat{p} &= i\sqrt{\frac{m\omega\hbar}{2}} (\hat{a}^\dagger - \hat{a}) \end{aligned} \right]$$

$$\hat{H} = \frac{1}{2} m \omega^2 V^\dagger V + \frac{1}{2} \hbar \omega$$

$$= \hbar \omega \left( \underbrace{a^\dagger a}_{\text{dimensionless}} + \frac{1}{2} \right)$$

↑                      ↑  
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$$\langle H \rangle_\psi = \langle \psi | H | \psi \rangle$$

$$= \langle \psi | a^\dagger a + \frac{1}{2} | \psi \rangle \hbar \omega$$

linearity

$$= \left[ \langle \psi | a^\dagger a | \psi \rangle + \langle \psi | \psi \rangle \frac{1}{2} \right] \hbar \omega$$

normalization

$$= \left[ \langle a^\dagger a \rangle + \frac{1}{2} \right] \hbar \omega \geq \frac{1}{2} \hbar \omega \Rightarrow$$

↑  
(a†a)† = a†a  
is an Hermitian

claim 1 expectation value of Hermitian operator is

claim expectation value of a†a is always ≥ 0

$$\triangleright \langle a^\dagger a \rangle = \langle \psi | a^\dagger a | \psi \rangle = \left[ \langle \psi | a^\dagger \right] a | \psi \rangle$$

but  $\langle \psi | a^\dagger = (a | \psi \rangle)^*$

so their inner product is actually  $|a | \psi \rangle|^2$

always ~~bigger than zero~~ ≥ 0    ◻

recall

$$[a, a^\dagger] = 1$$

$$\hat{a} = \sqrt{\frac{m\omega}{2\hbar}} \left( \hat{X} + \frac{i\hat{P}}{m\omega} \right)$$

$$\hat{a}^\dagger = \sqrt{\frac{m\omega}{2\hbar}} \left( \hat{X} - \frac{i\hat{P}}{m\omega} \right)$$

$$\hat{H} = \hbar \omega \left( a^\dagger a + \frac{1}{2} \right)$$

$$\hat{X} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^\dagger)$$

$$\hat{P} = i\sqrt{\frac{m\omega\hbar}{2}} (\hat{a}^\dagger - \hat{a})$$

IF there is any ground state (lower bound).

$$E_{\psi_g} = \frac{\hbar\omega}{2}$$

THEN  $a \psi_g = 0.$

⇕

$$\left( \hat{X} + \frac{i\hat{P}}{m\omega} \right) \psi = 0 \rightarrow \left( X + \frac{i\hbar}{m\omega} \frac{d}{dx} \right) \psi = 0.$$

so for ground state :  $\left[ \left( X + \frac{\hbar}{m\omega} \frac{d}{dx} \right) \psi_g = 0 \right]$   
1st order ODE.

rearrange the ODE :

$$\frac{d\psi_0}{dx} = -\frac{m\omega}{\hbar} X \psi_0$$

$$\Rightarrow \psi_0(x) = N e^{-\frac{m\omega}{2\hbar} x^2}$$

↑  
normalization constant

perfect Gaussian distribution

$$\hat{H} = \hbar\omega (\hat{a}^\dagger \hat{a} + \frac{1}{2})$$

introduce  $\hat{N} := \hat{a}^\dagger \hat{a}$ .

$$N \psi_0 = 0.$$

$$a^\dagger a \psi_0 = 0$$

(it can be shown)  
 $a \psi_0 = 0$

claim 1  $[a^\dagger, a] = 1$

▷ from the defining property ◀

since  $\hat{a} \psi_0 = 0$ ,  
 we call it annihilation operator

claim 2  $\hat{a} = \sqrt{\frac{m\omega}{2\hbar}} (\hat{x} + \frac{i\hat{p}}{m\omega})$

$$\hat{a}^\dagger = \sqrt{\frac{m\omega}{2\hbar}} (\hat{x} - \frac{i\hat{p}}{m\omega})$$

claim 3  $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^\dagger)$

$$\hat{p} = i\sqrt{\frac{m\omega\hbar}{2}} (\hat{a}^\dagger - \hat{a}).$$

① check  $[\hat{N}, \hat{a}] \equiv [a^\dagger a, a] = [a^\dagger, a] a = -\hat{a}$

$$[\hat{N}, \hat{a}^\dagger] \equiv [a^\dagger a, a^\dagger] = a^\dagger [a, a^\dagger] = \hat{a}^\dagger$$

there we used  $[a, a^\dagger] = 1$

theorem: identity of commutator

$$[A, BC] = [A, B]C + B[A, C]$$

$$[A, BCD] = [A, B]CD + B[A, C]D + B[C, A]D$$

$$[A, BCDE] = [A, B]CDE + B[A, C]DE + B[C, A]DE + B[CE, A]$$

it's like A walking through the string, ~~the relative pos~~ carrying  $[ ]$  by its side leaving the relative position order of B.CDE... unchanged.

② check  $[\hat{N}, (\hat{a})^k] = [\hat{N}, \hat{a}] \hat{a}^{k-1} + \hat{a} [\hat{N}, \hat{a}] \hat{a}^{k-2} + \dots + \hat{a}^{k-1} [\hat{N}, \hat{a}]$   
 $= -\hat{a}^{k-1+1} + (-\hat{a}^{k-1+1}) + \dots + (-\hat{a}^{k-1+1})$   
 $= -k \hat{a}^k$

$$[\hat{N}, (\hat{a}^\dagger)^k] = [\hat{N}, \hat{a}^\dagger] (\hat{a}^\dagger)^{k-1} + \hat{a}^\dagger [\hat{N}, \hat{a}^\dagger] (\hat{a}^\dagger)^{k-2} + \dots + (\hat{a}^\dagger)^{k-1} [\hat{N}, \hat{a}^\dagger]$$

$$= k (\hat{a}^\dagger)^k$$

$$[a^\dagger, a^k] = [a^\dagger, a] a^{k-1} + a [a^\dagger, a] a^{k-2} + \dots + a^{k-1} [a^\dagger, a]$$

$$= -k (a) a^{k-1}$$

$$[a, (a^\dagger)^k] = [a, a^\dagger] (a^\dagger)^{k-1} + a^\dagger [a, a^\dagger] (a^\dagger)^{k-2} + \dots + (a^\dagger)^{k-1} [a, a^\dagger]$$

$$= k (a^\dagger)^{k-1}$$

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(always keep in mind  
 $[a, a^\dagger] \equiv 1$   
 $[V, V^\dagger] \equiv \frac{2\hbar}{m\omega}$ )

Important Lemma: If  $\hat{A}\Psi=0$   
Then  $\hat{A}\hat{B}\Psi = [\hat{A}, \hat{B}]\Psi$

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▶ RHS =  $AB\Psi - BA\Psi$  }  $\Rightarrow$  RHS =  $AB\Psi$   
 But  $A\Psi=0$ , so } = LHS ◀

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now since we have find  $\Psi_0$   
 and  $a\Psi_0=0$ , we try to acting  $a^\dagger$  on  $\Psi_0$ .

consider the wavefunction  $\Psi_1 = a^\dagger\Psi_0$ .

• check if it's an energy eigenstate.

▶  $\hat{N}\Psi_1 = \hat{N}a^\dagger\Psi_0$  }  $\Rightarrow \hat{N}\Psi_1 = [\hat{N}, a^\dagger]\Psi_0$ .

but  $\hat{N}\Psi_0=0$

but we have  $[\hat{N}, a^\dagger] = a^\dagger$ , so  $a^\dagger\Psi_0 = \Psi_1$

i.e.  $\hat{N}\Psi_1 = \Psi_1$ ,  $\Psi_1$  is an eigenvector of  $\hat{N}$

thus, an eigenvector of  $\hat{H} \Rightarrow$  ~~energy eigenstate~~ ◀

• check if  $\Psi_1$  is properly normalized.

▶  $\langle \Psi_1 | \Psi_1 \rangle = \langle \Psi_0 | a a^\dagger | \Psi_0 \rangle$

but since  $a|\Psi_0\rangle=0$ .

thus  $a a^\dagger |\Psi_0\rangle = [a, a^\dagger] |\Psi_0\rangle$ .

so  $\langle \Psi_1 | \Psi_1 \rangle = \langle \Psi_0 | 1 | \Psi_0 \rangle \equiv 1$

if  $\Psi_0$  is properly normalized ◀

$$\hat{H} = \hbar\omega \left( \hat{N} + \frac{1}{2} \right).$$

then we consider

$$\Psi_2 \sim a^\dagger a^\dagger \Psi_0$$

• check it's energy eigenstate.

$$\hat{N}\bar{\Psi}_2 = \hat{N}(a^\dagger)^2\bar{\Psi}_0 \Rightarrow \hat{N}(a^\dagger)^2\bar{\Psi}_0 = [\hat{N}, (a^\dagger)^2]\bar{\Psi}_0.$$

$$\text{but } \hat{N}\bar{\Psi}_0 = 0.$$

$$\text{but } [\hat{N}, (a^\dagger)^2] = 2(a^\dagger)^2 \Rightarrow \hat{N}\bar{\Psi}_2 = 2(a^\dagger)^2\Psi_0 = 2\bar{\Psi}_2.$$

so  $\bar{\Psi}_2 = (a^\dagger)^2\Psi_0$  is an energy eigenstate with  $E_2 = (2 + \frac{1}{2})\hbar\omega$ .

• check if  $\bar{\Psi}_2$  is normalized.

$$\langle \bar{\Psi}_2 | \bar{\Psi}_2 \rangle = \langle \Psi_0 | [a]^2 [a^\dagger]^2 | \Psi_0 \rangle$$

consider  $a a^\dagger a^\dagger \Psi_0$

$$\begin{aligned} \text{since } a\Psi_0=0, \quad a a^\dagger a^\dagger \Psi_0 &= [a, a^\dagger a^\dagger] \Psi_0 \\ &= a [a, a^\dagger a^\dagger] \Psi_0 \\ &= 2 a a^\dagger \Psi_0 \end{aligned}$$

but again, since

$$a\Psi_0=0, \quad 2 a a^\dagger \Psi_0 = 2 [a, a^\dagger] \Psi_0 = 2 \cdot 1 \Psi_0 = 2 \Psi_0$$

$$\text{thus } \langle \bar{\Psi}_2 | \bar{\Psi}_2 \rangle = 2 \langle \Psi_0 | \Psi_0 \rangle = 2.$$

• normalization.

$$\text{easy to see } \Psi_2 = \frac{1}{\sqrt{2}} (a^\dagger)^2 \Psi_0.$$

Important Lemma: If  $\hat{A}\Psi=0$   
Then  $\hat{A}\hat{B}\Psi = [\hat{A}, \hat{B}]\Psi$

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but  $\hat{N}\Psi_0=0$

but we have  $[\hat{N}, a^\dagger] = a^\dagger$ , so  $a^\dagger\Psi_0 = \Psi_1$

i.e.  $\hat{N}\Psi_1 = \Psi_1$ ,  $\Psi_1$  is an eigenvector of  $\hat{N}$

thus, an eigenvector of  $\hat{H} \Rightarrow$  ~~energy eigenstate~~ ◀

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but since  $a|\Psi_0\rangle=0$ .

thus  $a a^\dagger |\Psi_0\rangle = [a, a^\dagger] |\Psi_0\rangle$ .

so  $\langle \Psi_1 | \Psi_1 \rangle = \langle \Psi_0 | 1 | \Psi_0 \rangle \equiv 1$

if  $\Psi_0$  is properly normalized ◀

$$\hat{H} = \hbar\omega \left( \hat{N} + \frac{1}{2} \right).$$

then we consider

$$\Psi_2 \sim a^\dagger a^\dagger \Psi_0$$

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$$\hat{N}\bar{\Psi}_2 = \hat{N}(a^\dagger)^2\bar{\Psi}_0 \Rightarrow \hat{N}(a^\dagger)^2\bar{\Psi}_0 = [\hat{N}, (a^\dagger)^2]\bar{\Psi}_0.$$

$$\text{but } \hat{N}\bar{\Psi}_0 = 0.$$

$$\text{but } [\hat{N}, (a^\dagger)^2] = 2(a^\dagger)^2 \Rightarrow \hat{N}\bar{\Psi}_2 = 2(a^\dagger)^2\Psi_0 = 2\bar{\Psi}_2.$$

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• check if  $\bar{\Psi}_2$  is normalized.

$$\langle \bar{\Psi}_2 | \bar{\Psi}_2 \rangle = \langle \Psi_0 | [a]^2 [a^\dagger]^2 | \Psi_0 \rangle$$

consider  $a a^\dagger a^\dagger \Psi_0$

$$\begin{aligned} \text{since } a\Psi_0=0, \quad a a^\dagger a^\dagger \Psi_0 &= [a, a^\dagger a^\dagger] \Psi_0 \\ &= a [a, a^\dagger a^\dagger] \Psi_0 \\ &= 2 a a^\dagger \Psi_0 \end{aligned}$$

but again, since

$$a\Psi_0=0, \quad 2 a a^\dagger \Psi_0 = 2 [a, a^\dagger] \Psi_0 = 2 \cdot 1 \Psi_0 = 2 \Psi_0$$

$$\text{thus } \langle \bar{\Psi}_2 | \bar{\Psi}_2 \rangle = 2 \langle \Psi_0 | \Psi_0 \rangle = 2.$$

• normalization.

$$\text{easy to see } \Psi_2 = \frac{1}{\sqrt{2}} (a^\dagger)^2 \Psi_0.$$

first rewrite  $\hat{H} = V^\dagger V + IR$

second dimensionless equation.

$$\hat{H} = \hbar\omega (a^\dagger a + IR).$$

obtaining  $\hat{a}, \hat{a}^\dagger$  in  $\hat{x}, \hat{p}$ .

third introduce  $N = a^\dagger a$ .

verify commutator  $[N, a], [N, a^\dagger]$

$$[a, a^\dagger]$$

$$[a, (a^\dagger)^k] \quad [(a^\dagger)^k, a^k]$$

fourth since  $N\psi_0 = 0$ , solve  $\psi_0$  by ODE.

fifth applying Lemma (i)  $A\psi = 0$

$$\text{then } AB\psi = [A, B]\psi$$

to rewrite ~~the~~ tensor product by commutator

sixth obtain  $(a^\dagger)^k \psi_0$ .

and check ~~the~~ eigenvalue and normalization.

claim:  $\psi_n(x) = \frac{1}{\sqrt{n!}} \underbrace{\hat{a}^\dagger \hat{a}^\dagger \dots \hat{a}^\dagger}_n \psi_0(x)$

↑  
normalization  
coefficient

and  $E_n = \hbar\omega (n + \frac{1}{2})$ .

## CREATION and ANNIHILATION Operator.

• check claim (1). this is an energy eigenstate

$$\hat{N} \psi_n \equiv \frac{1}{\sqrt{n!}} \hat{N} (\hat{a}^\dagger)^n \psi_0 \stackrel{?}{=} \frac{1}{\sqrt{n!}} [\hat{N}, (\hat{a}^\dagger)^n] \psi_0 = n \psi_n$$

thus  $\hat{N} \psi_0 = 0 = n (\hat{a}^\dagger)^n$

$$\hat{H} \psi_n = \hbar\omega (n + \frac{1}{2}) \psi_n$$

• check claim (2). this is properly

$$\langle \psi_n | \psi_n \rangle = \langle \psi_0 | (a^n (a^\dagger)^n | \psi_0 \rangle) \frac{1}{n!}$$

$$= \langle \psi_0 | [a, a^{n-1} (a^\dagger)^n] | \psi_0 \rangle \frac{1}{n!}$$

$$= \langle \psi_0 | (\hat{a})^{n-1} [a, (a^\dagger)^n] \psi_0 \rangle \frac{1}{n!}$$

$$= \langle \psi_0 | \hat{a}^{n-1} n (\hat{a}^\dagger)^{n-1} | \psi_0 \rangle \frac{1}{n!}$$

$$= \langle \psi_0 | \hat{a}^{n-1} (\hat{a}^\dagger)^{n-1} | \psi_0 \rangle \frac{1}{(n-1)!}$$

$$\dots = 1$$

NOTICE.  $\psi_n, \psi_m$  are eigenstates of Hermitian  $\hat{H}$  with ~~id~~ different eigenvalues  $E_n, E_m$ . and they are both properly normalized, thus

$$\langle \psi_n | \psi_m \rangle = \delta_{nm}$$

We can also show.

$$\hat{a} \psi_n = \sqrt{n} \psi_{n-1}$$

$$\hat{a}^\dagger \psi_n = \sqrt{n+1} \psi_{n+1}$$

that's why we call  $\hat{a} :=$  annihilation operator  $\hat{a}^\dagger :=$  creation operator.

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Expectation values.

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[keep in mind the annihilation/creation relation]

$$\begin{aligned} a \psi_n &= \sqrt{n} \psi_{n-1} \\ a^\dagger \psi_n &= \sqrt{n+1} \psi_{n+1} \end{aligned}$$

and

$$\hat{X} = \sqrt{\frac{\hbar}{2m\omega}} (a + a^\dagger)$$

$$\hat{P} = i \sqrt{\frac{m\omega\hbar}{2}} (a^\dagger - a)$$

$$\langle \hat{X} \rangle_{\psi_n} = \langle \psi_n | \hat{X} | \psi_n \rangle = \int_{-\infty}^{\infty} |\psi_n|^2 x dx = 0.$$

↑   ↑  
EVEN ODD

• show  $\langle \hat{P} \rangle_{\psi_n} = 0$

$$\langle \hat{P} \rangle_{\psi_n} = \langle \psi_n | (a^\dagger - a) | \psi_n \rangle$$

↓  
this gives some  $(\psi_{n+1} + 1) \psi_{n-1}$

but  $\psi_n$  are orthogonal to  $\psi_{n+1}$  and  $\psi_{n-1}$   $\blacktriangleleft$

$$\langle \hat{P} \rangle_{\psi_n} = \langle \psi_n | \hat{P} | \psi_n \rangle = 0$$

prove? (stationary state of 0 momentum expectation)

uncertainty = find  $(\Delta X)_{\psi_n}$ .

$$(\Delta X)_{\psi_n}^2 = \langle \hat{X}^2 \rangle_{\psi_n} - \langle \hat{X} \rangle_{\psi_n}^2$$

we have proved the second term equal to zero.

$$\text{but } \langle \hat{X} \rangle_{\psi_n} = \langle \psi | \hat{X}^2 | \psi \rangle$$

$$\hat{X}^2 = (a + a^\dagger)(a + a^\dagger) \frac{\hbar}{2m\omega}$$

$$= \underbrace{a^2 + a^{\dagger 2}} + a^\dagger a + a a^\dagger$$

these terms are 0  $\psi_n$

$$\Rightarrow \psi_{n\pm 1} \cdot \langle \psi_n | \psi_{n\pm 1} \rangle = 0$$

$$\text{thus, } (\Delta X)_{\psi_n}^2 = \langle \hat{X}^2 \rangle_{\psi_n} = \langle \psi_n | (a^\dagger a + a a^\dagger) | \psi_n \rangle \frac{\hbar}{2m\omega}$$

~~but  $a^\dagger a = N = a a^\dagger$~~

using the creation/annihilation property

$$a^\dagger a | \psi_n \rangle = a^\dagger \sqrt{n} \psi_{n-1} = \sqrt{n} a^\dagger \psi_{n-1} = \sqrt{n} \sqrt{n} \psi_n = n | \psi_n \rangle$$

$$a a^\dagger | \psi_n \rangle = a \sqrt{n+1} \psi_{n+1} = \sqrt{n+1} a \psi_{n+1} = (n+1) | \psi_n \rangle$$

$$\text{thus, } \langle \psi_n | a^\dagger a + a a^\dagger | \psi_n \rangle = (2n+1) \langle \psi_n | \psi_n \rangle = 2n+1$$

$$\text{thus, } (\Delta X)_{\psi_n}^2 = \frac{\hbar}{m\omega} (n + \frac{1}{2}) \text{ done !!!}$$